



# DOLEFIN SA

310, Route de St-Cergue  
CH-1260 Nyon

0041 (0)22 99'404'88

# Content:

- *Company Presentation*
- *Technical Outlook related to Fund*

# Our Team:

- **Stefan Steinemann / Partner**

- *Technical Analyst*
- *CMT and CFA Charterholder*

- **Urs Gmür / Partner**

- *PM and Investment Advisor*
- *CFA Charterholder*

- **Martina Jensik**

- *Funds Administration*
- *Administration*

# Our Services and Products:

- ***Technical Analysis***

- Stock Indices, Forex, Fixed Income, Commo's

- ***Investment Management***

- Portfolio Management
- Investment Advisory

# Our Clients:

- *Independent Asset Managers*
- *Banks / Insurance Companies / Corporates*
- *Family Offices / HNWI's*
- *Funds*

# Our Philosophy:

- *Quality*
- *Integrity*
- *Flexibility*
- *Proximity*

# Applicable Laws & Rules:

## ***Dolefin:***

- Collective Investment Scheme Act (FINMA)
- Anti-Money Laundering Act (FINMA)

## ***Employees:***

- Code of Conduct CFA-Institute (Steinemann-Gmür)
- Code of Conduct MTA (Steinemann)

## ***Fund:***

- (new) Prospectus

# Our Role (« Platinum Fund »):

- ***Member of Investment Committee***

- Strategic
- Tactical (e.g. directional views – TA)

- ***Portfolio Management***

- Implementation of investment strategy
- Treasury & Cash (subscriptions-redemptions)
- FX-Exposure
- Controlling P&R

# Investment Policy (e.g.):

- ***Minimum exposure:***

- 66.6% in financial assets with direct link to PGM's with HQ or principal activity in South Africa

- ***Exposure restrictions:***

- 20 % in single company
- 40 % of sum of all holdings > 10%
- 20 % in other Precious Metal companies

# Platinum oz/USD:



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# Jo'burg Platinum Index:



# Gold (kg) in CHF:

